

Cantor Fitzgerald Europe

CFD Personal Application Form

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1. PERSONAL DETAILS

Title: Mr Mrs Miss Ms Dr Rev Other _____

Surname _____ Forenames _____

Date of Birth _____ Nationality _____

Address _____

Postcode _____ Country _____

If you have been at your address for less than three years, please provide a previous address:

Address _____

Postcode _____ Country _____

Tel Mobile _____ Tel Home _____

Tel Work _____ Email _____

Gender Male Female

2. EMPLOYMENT AND INCOME DETAILS

- Employed Full Time
- Employed Part Time
- Self Employed
- Retired
- Student
- Unemployed

Annual Salary/Income (£) _____ Value of Savings & Investments (£) _____

Value of Outstanding Debts (£) _____ Value of Equity In Property (£) _____
(Credit Cards, Loans, Overdraft) (House Value Less Outstanding Mortgage)

Employer Name _____ Job Title _____

Employer Address _____

Postcode _____ Country _____

3. BANK DETAILS (Optional)

Name of Bank _____

Bank Address _____

Postcode _____ Country _____

Account Name _____

Sort Code _____ Account Number _____

4. INVESTMENT EXPERIENCE

What is the approximate size of your financial instrument portfolio? (£) _____
(Include Cash Deposits)

Do you work, or have you worked in the financial sector for at least one year in a professional position, which requires knowledge of the nature and risks of trading leveraged products? Yes No

Do you have any other professional experience or qualifications which would assist your understanding of the nature and risks of trading leveraged products? Yes No

If yes to either of the above please provide details _____

If you have any experience of trading the following leveraged products **in the last year** on an execution only basis, please provide details;

CFDs / Spread Bets Avg No. Trades Per Month _____ Avg Transaction Size (£) _____

Margined FX Avg No. Trades Per Month _____ Avg Transaction Size (£) _____

Do you have any experience of trading the following products on an execution only basis?

Futures:	<input type="checkbox"/> No	<input type="checkbox"/> Yes	No Years Experience _____
Options:	<input type="checkbox"/> No	<input type="checkbox"/> Yes	No Years Experience _____
Equities:	<input type="checkbox"/> No	<input type="checkbox"/> Yes	No Years Experience _____
Bonds:	<input type="checkbox"/> No	<input type="checkbox"/> Yes	No Years Experience _____

5. KNOWLEDGE AND UNDERSTANDING

Please confirm that you have read and understood the below statements: Yes No

A CFD is a leveraged product and you are only required to deposit a percentage of the full value of the transaction ("margin").

A CFD is valued against the underlying asset to which the CFD is referenced (for example an individual share or index). A CFD can be volatile and a small change to the price of the underlying asset may result in a disproportionately large profit or loss on the CFD.

You can incur losses significantly greater than the amount ("margin") you are initially required to deposit to open a CFD, and should this occur you are required to cover these losses.

If you open a long position (you expect the price of the underlying asset to rise) you will incur a loss if the price falls. If you open a short position (you expect the price of the underlying asset to fall) you will incur a loss if the price rises.

6. ABOUT YOUR ACCOUNT

You will be sent contract notes for each of your trades. As a default you will also be sent an annual statement of account. Alternatively you can choose to receive statements:

Daily Weekly Monthly

Would you like to be sent automatic emails confirming the details of each of your trades? Yes No

Do you require copies of your contract notes to go to a third party? Yes No

If so, please provide; Email Address _____

In which base currency do you wish your account summary to display? _____

7. DECLARATION

- i) I have read, understand and agree to be bound by CFE's CFD Terms & Conditions
- ii) I agree to and accept CFE's Order Execution Policy (as set out in Annex 3 of CFE's CFD Terms & Conditions)

Signature _____ Date _____

Print Name _____

NOTE: - Please see the attached guidelines for the supporting documentation required with your application.

CFE is an unlimited company incorporated under the laws of England and Wales under registration number 2505767 and whose registered address is at 17 Crosswall, London, EC3N 2LB. CFE is authorised and regulated by the UK Financial Services Authority (FSA) for the provision of designated investment business and its FSA registration number is 149380. The address of the FSA is 25 The North Colonnade, Canary Wharf, London E14 5HS.