



Cantor Fitzgerald Europe

CFD Corporate Application Form

Postal Address: Cantor Fitzgerald Europe, CFDs, One Churchill Place,
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1. COMPANY DETAILS

Name of Company_____

Registered Address_____

Postcode_____ Country_____

Correspondence Address (if different to registered address)_____

Postcode_____ Country_____

Country of Incorporation _____

Company Website_____

Nature of Business Activity_____

Are you authorised or regulated to operate in the financial markets? Yes No

If yes please provide details_____

Administration Contact Name_____ Email_____

Tel No_____ Fax No_____

What is the approximate size of the company's financial instrument portfolio? (£)_____

(Include Cash Deposits)

2. BANK DETAILS (Optional)

Name of Bank _____

Bank Address_____

Postcode_____ Country_____

Account Name_____

Sort Code_____ Account Number_____

Please complete the following section for each of the named **Authorised Traders**;

INVESTMENT EXPERIENCE (First Authorised Trader)

Do you work, or have you worked in the financial sector for at least one year in a professional position, which requires knowledge of the nature and risks of trading leveraged products? Yes No

Do you have any other professional experience or qualifications which would assist your understanding of the nature and risks of trading leveraged products? Yes No

If yes to either of the above please provide details _____

If you have any experience of trading the following leveraged products **in the last year** on an execution only basis, please provide details;

CFDs / Spread Bets Avg No. Trades Per Month _____ Avg Transaction Size (£) _____

Margined FX Avg No. Trades Per Month _____ Avg Transaction Size (£) _____

Do you have any experience of trading the following products on an execution only basis?

Futures: No Yes No Years Experience _____

Options: No Yes No Years Experience _____

Equities: No Yes No Years Experience _____

Bonds: No Yes No Years Experience _____

KNOWLEDGE AND UNDERSTANDING (First Authorised Trader)

Please confirm that you have read and understood the below statements: Yes No

A CFD is a leveraged product and you are only required to deposit a percentage of the full value of the transaction ("margin").

A CFD is valued against the underlying asset to which the CFD is referenced (for example an individual share or index). A CFD can be volatile and a small change to the price of the underlying asset may result in a disproportionately large profit or loss on the CFD.

You can incur losses significantly greater than the amount ("margin") you are initially required to deposit to open a CFD, and should this occur you are required to cover these losses.

If you open a long position (you expect the price of the underlying asset to rise) you will incur a loss if the price falls. If you open a short position (you expect the price of the underlying asset to fall) you will incur a loss if the price rises.

INVESTMENT EXPERIENCE (Second Authorised Trader)

Do you work, or have you worked in the financial sector for at least one year in a professional position, which requires knowledge of the nature and risks of trading leveraged products? Yes No

Do you have any other professional experience or qualifications which would assist your understanding of the nature and risks of trading leveraged products? Yes No

If yes to either of the above please provide details _____

If you have any experience of trading the following leveraged products *in the last year* on an execution only basis, please provide details;

CFDs / Spread Bets Avg No. Trades Per Month _____ Avg Transaction Size (£) _____

Margined FX Avg No. Trades Per Month _____ Avg Transaction Size (£) _____

Do you have any experience of trading the following products on an execution only basis?

Futures: No Yes No Years Experience _____

Options: No Yes No Years Experience _____

Equities: No Yes No Years Experience _____

Bonds: No Yes No Years Experience _____

KNOWLEDGE AND UNDERSTANDING (Second Authorised Trader)

Please confirm that you have read and understood the below statements: Yes No

A CFD is a leveraged product and you are only required to deposit a percentage of the full value of the transaction ("margin").

A CFD is valued against the underlying asset to which the CFD is referenced (for example an individual share or index). A CFD can be volatile and a small change to the price of the underlying asset may result in a disproportionately large profit or loss on the CFD.

You can incur losses significantly greater than the amount ("margin") you are initially required to deposit to open a CFD, and should this occur you are required to cover these losses.

If you open a long position (you expect the price of the underlying asset to rise) you will incur a loss if the price falls. If you open a short position (you expect the price of the underlying asset to fall) you will incur a loss if the price rises.

3. ABOUT YOUR ACCOUNT

You will be sent contract notes for each of your trades. As a default you will also be sent an annual statement of account. Alternatively you can choose to receive statements:

Daily Weekly Monthly

Would you like to be sent automatic emails confirming the details of each of your trades? Yes No

Do you require copies of your contract notes to go to a third party? Yes No

If so, please provide; Email Address _____

In which base currency do you wish your account summary to display? _____

4. DECLARATION

- i) We have read, understand and agree to be bound by CFE's CFD Terms & Conditions
- ii) We agree to and accept CFE's Order Execution Policy (as set out in Annex 3 of CFE's CFD Terms & Conditions)

Signature _____ Date _____

Print Name _____

Title _____

For and on behalf of _____
(Print Company Name)

NOTE: - Please see the attached guidelines for the supporting documentation required with your application.

CFE is an unlimited company incorporated under the laws of England and Wales under registration number 2505767 and whose registered address is at 17 Crosswall, London, EC3N 2LB. CFE is authorised and regulated by the UK Financial Services Authority (FSA) for the provision of designated investment business and its FSA registration number is 149380. The address of the FSA is 25 The North Colonnade, Canary Wharf, London E14 5HS.

You will need to hold a meeting of the Board of Directors of the Company in order to pass certain resolutions to authorise your Contracts for Difference transactions with Cantor Fitzgerald Europe (“CFE”). The form of these resolutions is set out in the Company Secretary’s Certificate below. Once the meeting has been held and the resolutions duly passed, the Company Secretary should complete and sign the Certificate below to confirm to CFE that the resolutions have been passed. Whoever has been made an Authorised Signatory at the meeting should also sign the Certificate so that CFE will have a record of their signature(s).

Company Secretary’s Certificate

I, _____(name of company secretary) of

_____ (name of the company) certify to

Cantor Fitzgerald Europe that the following Resolutions were duly passed by the directors of the Company at a meeting held on _____(date).

Resolutions of the Board of _____(name of the company).

- 1. That account(s) (the “Accounts(s)”) be opened with Cantor Fitzgerald Europe (“CFE”) in the name of the Company for the purpose of entering into Contracts for Difference and any transactions related or ancillary to any such transactions.
- 2. That an agreement be entered into with CFE in connection with the opening of the Accounts in such form as CFE shall require (the “Agreement”) and that all transactions entered into by the Company shall be subject to terms of the Agreement as amended from time to time.
- 3. That each of the persons whose names and signatures appear below (the “Authorised Signatories”) shall be and are hereby jointly and severally authorised to sign any document in connection with the opening or operation of the Account(s), including (but without limitation) the Agreement and to give any oral or written instructions to CFE with respect to the Account(s) including (but without limitation) instructions to effect or otherwise enter into transactions with or on behalf of the Company

(i) _____
Print Name Title

Signature

(ii) _____
Print Name Title

Signature

4. That each of the persons whose names and signatures appear below (the "Authorised Traders") shall be and are hereby jointly and severally authorised to place instructions to effect or otherwise enter into transactions with or on behalf of the Company.

(i) _____
Print Name Title

Signature

(ii) _____
Print Name Title

Signature

(iii) _____
Print Name Title

Signature

5. That any transactions of any description whatsoever previously entered into by the Company with or through CFE be and are hereby ratified and approved.

6. That these Resolutions be communicated to CFE and shall remain in force and that CFE shall be entitled to rely on the same until an amending resolution shall be passed and a copy certified by an officer of the Company shall have been received by CFE.

I further certify that there is no legal or other reason why the Company should not conduct investment business for Contracts for Difference.

Signature of Company Secretary

Print Name

Date